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$$F_o = \frac{0.209_{F_d}}{F_c}$$
 (Eq. 4)

Where:

 $F_o=Fuel$  factor based on the ratio of  $O_2$  volume to the ultimate  $CO_2$  volume produced by the fuel at zero percent excess air

0.209 = Fraction of air that is  $O_2$ , percent/100.  $F_d$  = Ratio of the volume of dry effluent gas to the gross calorific value of the fuel from Method 19, dsm<sup>3</sup>/J (dscf/10<sup>6</sup> Btu).

F<sub>c</sub> = Ratio of the volume of CO<sub>2</sub> produced to the gross calorific value of the fuel from Method 19, dsm<sup>3</sup>/J (dscf/10<sup>6</sup> Btu).

(ii) Calculate the  $CO_2$  correction factor for correcting measurement data to 15 percent  $O_2$ , as follows:

$$X_{CO_2} = \frac{5.9}{F_2}$$
 (Eq. 5)

Where:

 $X_{\rm CO2}$  =  $CO_2$  correction factor, percent. 5.9 = 20.9 percent  $O_2$ -15 percent  $O_2$ , the defined  $O_2$  correction value, percent.

(iii) Calculate the  $NO_X$  and PM gas concentrations adjusted to 15 percent  $O_2$  using  $CO_2$  as follows:

$$C_{adj} = C_d \frac{X_{CO_2}}{\%CO_2}$$
 (Eq. 6)

Where:

 $C_{adj}$  = Calculated  $NO_X$  or PM concentration adjusted to 15 percent  $O_2$ .

 $C_d$  = Measured concentration of  $NO_X$  or PM, uncorrected.

%CO<sub>2</sub> = Measured CO<sub>2</sub> concentration, dry basis, percent.

(e) To determine compliance with the  $NO_X$  mass per unit output emission limitation, convert the concentration of  $NO_X$  in the engine exhaust using Equation 7 of this section:

$$ER = \frac{C_d \times 1.912 \times 10^{-3} \times Q \times T}{KW\text{-hour}}$$
 (Eq. 7)

Where:

ER = Emission rate in grams per KW-hour.  $C_d$  = Measured  $NO_X$  concentration in ppm.

 $1.912x10^{-3}$  = Conversion constant for ppm  $NO_X$  to grams per standard cubic meter at 25 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meter per hour.

T = Time of test run, in hours.

KW-hour = Brake work of the engine, in KW-hour.

(f) To determine compliance with the PM mass per unit output emission limitation, convert the concentration of PM in the engine exhaust using Equation 8 of this section:

$$ER = \frac{C_{adj} \times Q \times T}{KW-hour}$$
 (Eq. 8)

Where:

ER = Emission rate in grams per KW-hour.

 $C_{\text{adj}}$  = Calculated PM concentration in grams per standard cubic meter.

Q = Stack gas volumetric flow rate, in standard cubic meter per hour.

T = Time of test run, in hours.

KW-hour = Energy output of the engine, in KW.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37971, June 28, 2011]

NOTIFICATION, REPORTS, AND RECORDS FOR OWNERS AND OPERATORS

# § 60.4214 What are my notification, reporting, and recordkeeping requirements if I am an owner or operator of a stationary CI internal combustion engine?

(a) Owners and operators of nonemergency stationary CI ICE that are greater than 2,237 KW (3,000 HP), or have a displacement of greater than or equal to 10 liters per cylinder, or are pre-2007 model year engines that are greater than 130 KW (175 HP) and not certified, must meet the requirements of paragraphs (a)(1) and (2) of this section.

(1) Submit an initial notification as required in  $\S60.7(a)(1)$ . The notification must include the information in paragraphs (a)(1)(i) through (v) of this section.

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- (i) Name and address of the owner or operator;
- (ii) The address of the affected source:
- (iii) Engine information including make, model, engine family, serial number, model year, maximum engine power, and engine displacement;
  - (iv) Emission control equipment; and
  - (v) Fuel used.
- (2) Keep records of the information in paragraphs (a)(2)(i) through (iv) of this section.
- (i) All notifications submitted to comply with this subpart and all documentation supporting any notification.
- (ii) Maintenance conducted on the
- (iii) If the stationary CI internal combustion is a certified engine, documentation from the manufacturer that the engine is certified to meet the emission standards.
- (iv) If the stationary CI internal combustion is not a certified engine, documentation that the engine meets the emission standards.
- (b) If the stationary CI internal combustion engine is an emergency stationary internal combustion engine, the owner or operator is not required to submit an initial notification. Starting with the model years in table 5 to this subpart, if the emergency engine does not meet the standards applicable to non-emergency engines in the applicable model year, the owner or operator must keep records of the operation of the engine in emergency and non-emergency service that are recorded through the non-resettable hour meter. The owner must record the time of operation of the engine and the reason the engine was in operation during that time.
- (c) If the stationary CI internal combustion engine is equipped with a diesel particulate filter, the owner or operator must keep records of any corrective action taken after the backpressure monitor has notified the owner or operator that the high backpressure limit of the engine is approached.
- (d) If you own or operate an emergency stationary CI ICE with a maximum engine power more than 100 HP that operates or is contractually obligated to be available for more than 15

- hours per calendar year for the purposes specified in 60.4211(f)(2)(ii) and (iii) or that operates for the purposes specified in 60.4211(f)(3)(i), you must submit an annual report according to the requirements in paragraphs (d)(1) through (3) of this section.
- (1) The report must contain the following information:
- (i) Company name and address where the engine is located.
- (ii) Date of the report and beginning and ending dates of the reporting period.
- (iii) Engine site rating and model year.
- (iv) Latitude and longitude of the engine in decimal degrees reported to the fifth decimal place.
- (v) Hours operated for the purposes specified in §60.4211(f)(2)(ii) and (iii), including the date, start time, and end time for engine operation for the purposes specified in §60.4211(f)(2)(ii) and (iii).
- (vi) Number of hours the engine is contractually obligated to be available for the purposes specified in §60.4211(f)(2)(ii) and (iii).
- (vii) Hours spent for operation for the purposes specified in  $\S 60.4211(f)(3)(i)$ , including the date, start time, and end time for engine operation for the purposes specified in  $\S 60.4211(f)(3)(i)$ . The report must also identify the entity that dispatched the engine and the situation that necessitated the dispatch of the engine.
- (2) The first annual report must cover the calendar year 2015 and must be submitted no later than March 31, 2016. Subsequent annual reports for each calendar year must be submitted no later than March 31 of the following calendar year.
- (3) The annual report must be submitted electronically using the subpart specific reporting form in the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (www.epa.gov/cdx). However, if the reporting form specific to this subpart is not available in CEDRI at the time that the report is due, the written

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report must be submitted to the Administrator at the appropriate address listed in §60.4.

[71 FR 39172, July 11, 2006, as amended at 78 FR 6696, Jan. 30, 2013]

#### SPECIAL REQUIREMENTS

## § 60.4215 What requirements must I meet for engines used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands?

- (a) Stationary CI ICE with a displacement of less than 30 liters per cylinder that are used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands are required to meet the applicable emission standards in §§ 60.4202 and 60.4205.
- (b) Stationary CI ICE that are used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands are not required to meet the fuel requirements in §60.4207.
- (c) Stationary CI ICE with a displacement of greater than or equal to 30 liters per cylinder that are used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands are required to meet the following emission standards:
- (1) For engines installed prior to January 1, 2012, limit the emissions of  $NO_{\rm X}$  in the stationary CI internal combustion engine exhaust to the following:
- (i) 17.0~g/KW-hr~(12.7~g/HP-hr) when maximum engine speed is less than 130~rpm:
- (ii)  $45 \cdot n^{-0.2}$  g/KW-hr ( $34 \cdot n^{-0.2}$  g/HP-hr) when maximum engine speed is 130 or more but less than 2,000 rpm, where n is maximum engine speed; and
- (iii) 9.8 g/KW-hr (7.3 g/HP-hr) when maximum engine speed is 2,000 rpm or more
- (2) For engines installed on or after January 1, 2012, limit the emissions of  $\mathrm{NO}_{\mathrm{X}}$  in the stationary CI internal combustion engine exhaust to the following:
- (i)  $14.4~{\rm g/KW-hr}$  ( $10.7~{\rm g/HP-hr}$ ) when maximum engine speed is less than  $130~{\rm rpm}$ ;
- (ii)  $44 \cdot n^{-0.23}$  g/KW-hr ( $33 \cdot n^{-0.23}$  g/HP-hr) when maximum engine speed is greater than or equal to 130 but less than 2,000 rpm and where n is maximum engine speed; and

- (iii) 7.7 g/KW-hr (5.7 g/HP-hr) when maximum engine speed is greater than or equal to 2.000 rpm.
- (3) Limit the emissions of PM in the stationary CI internal combustion engine exhaust to 0.40 g/KW-hr (0.30 g/HP-hr)

[71 FR 39172, July 11, 2006, as amended at 76 FR 37971, June 28, 2011]

### § 60.4216 What requirements must I meet for engines used in Alaska?

- (a) Prior to December 1, 2010, owners and operators of stationary CI ICE with a displacement of less than 30 liters per cylinder located in areas of Alaska not accessible by the FAHS should refer to 40 CFR part 69 to determine the diesel fuel requirements applicable to such engines.
- (b) Except as indicated in paragraph (c) of this section, manufacturers, owners and operators of stationary CI ICE with a displacement of less than 10 liters per cylinder located in areas of Alaska not accessible by the FAHS may meet the requirements of this subpart by manufacturing and installing engines meeting the requirements of 40 CFR parts 94 or 1042, as appropriate, rather than the otherwise applicable requirements of 40 CFR parts 89 and 1039, as indicated in sections § 60.4201(f) and 60.4202(g) of this subpart.
- (c) Manufacturers, owners and operators of stationary CI ICE that are located in areas of Alaska not accessible by the FAHS may choose to meet the applicable emission standards for emergency engines in §60.4202 and §60.4205, and not those for non-emergency engines in §60.4201 and §60.4204, except that for 2014 model year and later non-emergency CI ICE, the owner or operator of any such engine that was not certified as meeting Tier 4 PM standards, must meet the applicable requirements for PM in §60.4201 and §60.4204 or install a PM emission control device that achieves PM emission reductions of 85 percent, or 60 percent for engines with a displacement of greater than or equal to 30 liters per cylinder, compared to engine-out emis-
- (d) The provisions of §60.4207 do not apply to owners and operators of pre-2014 model year stationary CI ICE subject to this subpart that are located in